

Case Docket No. SALKINS.024DV1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Neff, et al.	)	I hereby certify that this correspondence and all marked attachments are being deposited with the
Appl. No.	:	09/992901	)	United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327,
Filed	:	November 14, 2001	)	Arlington, VA 22202, on
For	:	GENETICALLY MODIFIED PLANTS HAVING MODULATED BRASSINOSTEROID SIGNALING	) ) ) )	Michael L. Fuller, Reg. No. 36,516
Examiner	: "	Unknown	)	RECEIVED
Group Art Uni	t:	1638	)	DEC 2 7 2002

### TRANSMITTAL LETTER

TECH CENTER 1600/2900

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with five (5) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Michael L. Fuller Registration No. 36,516 Attorney of Record Customer No. 20,995 (619) 235-8550

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	Applicant	:	Neff, et al.	Group Art Unit 1638
PADEMARK	App. No.	:	09/992901	
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			PLANTS HAVING MODULATED ) BRASSINOSTEROID SIGNALING )	TECH CENTER 1600/2900
8	Examiner	:	Unknown )	

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing five references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

#### CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

By:

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Decenter (7, 200)

Michael L. Fuller Registration No. 36,516

Attorney of Record Customer No. 20,995

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0	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. SALKINS.024DV1	APPLICATION NO. 09/992,901 RECEI		
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUBCLASS		TRANSLATION	
INITIAL						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
		Altmann, Thomas "A tale of dwarfs and drugs: brassinosteroids to the rescue", <i>Trends in Genetics</i> , 14(12):490-495 (1998)				
	2.	Choe, et al., "the DWF4 Gene of Arabidopsis Encodes a Cytochrome P450 that mediates multiple 22α-Hydroxylation Steps in Brassinosteroid Biosynthesis", <i>The Plant Cell</i> , 10(2):231-243 (1998)				
	3.	Szekeres, et al., "Brassinosteroids Rescue the Deficiency of CYP90, a Cytochrome P450, Controlling Cell Elongation and De-etiolation in Arabidopsis", Cell, 85:171-182 (1996)				
	4.	Winkler, et al., "The Maize Dwarf3 Gene Encodes a Cytochrome P450-Mediated Early Step in Gibberellin Biosynthesis", <i>The Plant Cell</i> , 7(8):1307-1317 (1995)				
	5.	Salchert, et al., "Control of cell elongation and stress responses by steroid hormones and carbon catabolic repression in plants", <i>Philosophical Transactions of the Royal Society of London, Series B</i> , 353(1374):1517-1520 (1998)				

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION	IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT